

DISCLOSURE STATEMENT for Rod Schubert

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This Disclosure Statement was prepared on 20th March 2018.

It is important that you read this document

This information will help you to choose a financial adviser that best suits your needs. It will also provide some useful information about the financial adviser that you choose.

What sort of adviser am I?

I am a registered, but not authorised, financial adviser. I can give you advice about life and associated risk insurance, home loans/consumer finance, fire and general insurance and kiwisaver (non-personalised advice).

What should you do if something goes wrong?

If you have a problem, concern, or complaint about any part of my service, please tell me so I may try to fix the problem. If we cannot agree on how to fix the issue or if you decide not to use the internal complaints scheme, you can contact Financial Services Complaints Limited. This service will cost you nothing and will help us resolve any disagreements.

You can contact them by phone, email or in writing using the below information:

Email: info@fscl.org.nz
Phone: 0800 347257 or 04 472 3725
Fax: 04 472 3728
Postal Address: PO Box 5967, Wellington 6011

How am I regulated by the Government?

You can check that I am a registered financial adviser at <http://www.fspr.govt.nz>. The Financial Markets Authority regulates financial advisers. Contact the Financial Markets Authority for more information, including financial tips and warnings.

You can report information or complain about my conduct to the Financial Markets Authority, but in the event of a disagreement, you may choose to first use the dispute resolution procedures described above (under "What should you do if something goes wrong?").

Declaration

I, Rod Schubert, declare that, to the best of my knowledge and belief, the information contained in this disclosure statement is true and complete and complies with the disclosure requirements in the Financial Advisers Act 2008 and the Financial Advisers (Disclosure) Regulations 2010.

Signed



Date: 20th March 2018
